

# COMPLIANCE SEMINAR

**May, 16-17, 2023**

Online event

**"Ethics and Compliance Risk Management"**  
Online event

## TOPICS

- Ethics and Compliance risk management
- Preparation for risk assessment process
- Identifying red flags
- Tools and methods for risk identification
- Ethics and compliance risk identification through surveys and interviews
- Third party risk assessment
- Risk mitigation action plan

## WHO SHOULD ATTEND?

- Compliance officers and other Compliance professionals
- Risk managers
- Directors and managers
- To other professionals who deal with risk assessment in their work

## GOAL

This seminar will expand and establish the knowledge of the participants about specific Integrity Compliance risks, how to recognize risk areas, who should be involved in the risk assessment process, how to assess risk and what to do with the results of risk assessment?

The seminar will help the participants to understand successfully apply the key elements necessary for the successful management of Integrity Compliance risks in the organization.

Day I – May 16, 2023

AGENDA

May 16, 2023

Online

## AGENDA DAY I – RISK ASSESSMENT PROCESS

09:00 - 09:30 SESSION 1

### Management of Integrity Compliance risks

In this session we will present the term risk management in the field of ethics and compliance, what constitutes a risk, what risks we must take into account and most importantly what benefits the organization achieves through risk management in the field of ethics and compliance.

09:30 - 10:30 SESSION 2

### Preparation for the Integrity Compliance risk assessment process

In order to prepare for the risk assessment process, we must first analyze some of the key aspects of each organization such as internal procedures, employee structure, business processes and control mechanisms that are already in place.

This lecture will help you identify vulnerable areas from a risk perspective in your organization.

10:30 - 10:40 BREAK

10:40 - 11:40 SESSION 3

### Tools and methods for the identification of Ethics & Compliance risks

This session explore tools and methods available for ethics and Compliance risk identification. We are going to learn about the pros and cons of every method which will help you choose suitable method for the industry and the organization that you work for.

11:40 - 12:10 SESSION 3

### Risk assessment results analysis I part

Participant will learn how to analyze gathered information and how to quantify each risk area. Properly creating risk map is the foundation for planning any activities for risk mitigation that is why in this session we are learning about key elements of risk map.

12:10 - 12:40 BREAK

12:40 - 13:10 SESSION 4

### Risk assessment results analysis II part

13:10 - 14:10 SESSION 4

### Action plan for Risk management

This session is providing participant theoretical and practical knowledge on how to effectively plan actions for mitigation of identified ethics and compliance risk through risk mitigation action plan. Also, we are going to talk about reporting on the findings to the senior management and communication for effective implementation of planned activities.

## AGENDA DAY II – IDENTIFYING AND MANAGING RISKS IN DAY-TO-DAY BUSINESS

### 09:00 - 10:00 SESSION 1

#### Identifying red flags in key processes

In this session we will go through key processes with high integrity compliance risks and most common red flags we may identify in these processes.

### 10:00 - 11:00 SESSION 2

#### Third parties and risk factors in doing business with third parties - I part

### 11:00 - 11:30 BREAK

### 11:30 - 12:00 SESSION 3

#### Third parties and risk factors in doing business with third parties - II part

### 12:00 - 13:30 SESSION 3

#### Managing Conflict of Interest

## ABOUT LECTURER



**Mila Crnogorac Bajic** is Certified Compliance and Ethics Professional - International (CCEP-I). She is active compliance professional for more than 8 years. She also participates in activities of West East Forum for Ethics and Compliance in development and implementation of business integrity initiatives in Western Balkans. She has experience in development of Compliance programs in the field of integrity compliance (including integrity standards set by World Bank and EBRD), protection of personal health information under HIPAA (US Health Insurance Portability and Accountability Act of 1996) and GDPR. She led the process of development and implementation of compliance program in domestic companies that was audited and approved by big international technology corporation from US. She is one of the founders and leader of Business Ethics and Compliance Academy.

## CONTACT FOR FURTHER INFORMATION

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